



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Andrew Kazina

ORDER

(ARISING FROM THE HEARING ON THE MERITS ON NOVEMBER 14-18, 2022 AND NOVEMBER 21, 2022)

WHEREAS a hearing of this matter on the merits was held before a Hearing Panel of the Prairie Regional Council of the MFDA (“Hearing Panel”) from November 14-18, and November 21, 2022;

AND WHEREAS during the hearing Staff of the MFDA (“Staff”) and the Respondent made submissions to the Hearing Panel with respect to the scheduling of closing arguments and other procedural matters;

IT IS HEREBY ORDERED THAT:

1. Staff shall provide a copy of their written submissions to the Respondent by no later than January 9, 2023.
2. The Respondent shall provide a copy of his written submissions to Staff by no later than January 23, 2023.
3. Staff shall provide a copy of their reply submissions to the Respondent by no later than January 30, 2023.

4. By no later than January 30, 2023, the Hearing Panel shall be provided with the following, via the MFDA Office of the Corporate Secretary:

- a) The written submissions of the Staff and the Respondent; and
- b) Any additional authorities intended to be relied upon by either party.

5. The hearing of this matter on its merits shall resume electronically by videoconference for closing arguments on February 6, 2023, commencing at 10:00 a.m. (Central), or as soon thereafter as the hearing can be held.

DATED this 21st day of November, 2022.

“Sherri Walsh”

Sherri Walsh
Chair

“Guenther W. K. Kleberg”

Guenther W. K. Kleberg
Industry Representative

“Greg Wiebe”

Greg Wiebe
Industry Representative

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