



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Andrew Kazina

ORDER

(ARISING FROM AN INTERIM APPEARANCE ON MARCH 24, 2022)

WHEREAS in accordance with s. 19.13 of MFDA By-law No. 1, on March 24, 2022, an appearance in this matter was held by videoconference before a public representative of the Prairie Regional Council of the MFDA (“Hearing Panel”) to schedule a hearing of this matter on its merits and address other procedural matters;

AND WHEREAS during the appearance Staff of the MFDA (“Staff”) and the Respondent made submissions to the Hearing Panel with respect to scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT:

1. With respect to any motions regarding further disclosure:
 - a) The Respondent shall file and serve the motion and any submissions or supporting evidence by no later than April 14, 2022;
 - b) Staff shall file and serve any submissions or supporting evidence in response by no later than April 21, 2022;
 - c) The Respondent shall file and serve any submissions or supporting evidence in reply by no later than April 28, 2022.
2. The next appearance of this matter shall take place before the Hearing Panel by

videoconference on July 21, 2022 at 10:00 a.m. (Central), or as soon thereafter as the appearance can be held.

3. The hearing of this matter on its merits shall take place before the Hearing Panel electronically by videoconference, as well as in the hearing room at the Manitoba Securities Commission, 400 St Mary Avenue, Winnipeg, Manitoba, from November 14 to 18, 2022 and November 21 to 25, 2022, commencing each day at 10:00 am (Central), or as soon thereafter as the hearing can be held.

DATED this 24th day of March, 2022.

“Sherri Walsh”

Sherri Walsh

Chair

DM 881272