From: Darren Grierson
To: Member Regulation Policy
Cc: Darren Grierson; CIRO-Reporting; TradingandMarkets@osc.ca
Subject: IN FAVOUR of Benefits of Harmonizing Incorporated Advisor Compensation
Date: Friday, August 14, 2026 12:08:54 PM
Attachments: image001.png
image002.png
image003.png

[EXTERNAL EMAIL / COURRIEL EXTERNE]

I believe there is a strong case for harmonizing regulations across Canada to allow financial advisors to receive income through a corporation.

A consistent national approach would create fairness for advisors regardless of province, reduce administrative complexity, and provide greater certainty for business planning. It would also enable advisors to reinvest in their practices, support succession planning, and enhance client service.

As advisors increasingly operate sophisticated businesses, harmonization would help create a level playing field and align the profession with many other sectors that already benefit from incorporated business structures.

Ultimately, this change would support stronger advisory practices and better outcomes for Canadian investors.

Best regards,

Darren Grierson
Life and Health Insurance Advisor
Investment Advisor*

Let me help you CREATE and PROTECT wealth!

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